

Form ADV Part 2B Brochure Supplement

Michael W. Converse

Converse Team Financial Services, LLC

160 N County Line Rd.

Wichita, KS 67230

Phone: 316-600-4015

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This Brochure Supplement provides information about Michael W. Converse that supplements the Converse Team Financial Services, LLC Brochure which you should have received. Please contact us at 316-600-4015 if you did not receive the Brochure or if you have any questions about it or the contents of this supplement.

Additional information about Mr. Converse is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Michael W. Converse (born 1979)

Educational Background:

- Butler County Community College, Associates Degree, 2000
- Kansas State University, Bachelor of Business Administration, Finance, 2002

Professional Designations:

Chartered Financial Consultant (ChFC)

Michael W. Converse holds the Chartered Financial Consultant (ChFC) professional designation. The ChFC is a professional certification granted by the American College. To earn the designation, an individual must meet experience requirements and pass exams covering finance and investing. They must have at least 3 years of experience in the financial industry, and have studied and passed an examination on the fundamentals of financial planning, including income tax, insurance, investment and estate planning.

Charter Life Underwriter (CLU)

This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take a series of mandatory courses which include, for example, the following: insurance planning, life insurance law, fundamentals of estate planning, planning for business owners, income taxation, group benefits, planning for retirement needs, and investments. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

Accredited Investment Fiduciary (AIF)

The AIF designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF designation, individuals must complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the AIF Code of Ethics. In order to maintain the AIF designation, the individual must annually renew their affirmation of the AIF Code of Ethics and complete six hours of continuing education credits. The certification is administered by the Center for Fiduciary Studies, LLC (a Fiduciary360 (fi360) company).

Chartered Retirement Plans Specialist (CRPS)

The CRPS designation enables financial advisors and other professionals to demonstrate their expertise in administering retirement plans for businesses and wholesale clients. It is offered exclusively by the College for Financial Planning®—a Kaplan Company (CFFP). The CRPS program addresses topics such as the types and characteristics of retirement plans, IRAs, SEP, SIMPLE, 401(k), and defined benefit plans. It also includes coursework that covers non-profit and government plans, qualified and IRA distributions, plan design, installation, and administration, and fiduciary issues.

Business Experience:

- Converse Team Financial Services, LLC – CEO/Investment Adviser Representative, 01/2025 to present
- Converse Financial Advisory, Inc. - CEO, 04/2008 to present
- Raymond James Financial Services Advisors, Inc., Investment Adviser Representative, 01/2009 to present
- Raymond James Financial Services, Inc. - Registered Representative, 01/2003 to present
- Keating Financial Advisory Services, Inc., Investment Adviser Representative, 10/2007 to 04/2025
- Keating & Associates, Inc., Independent Contractor, 01/2003 to 04/2025

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of the firm or the integrity of its management. Mr. Converse is currently not subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4- Other Business Activities

Mr. Converse is a registered representative of Raymond James Financial Services, Inc., a securities broker-dealer, member SIPC, and member of the Financial Industry Regulatory Authority, Inc. (FINRA). Mr. Converse is also an Investment Adviser Representative of

Raymond James Financial Services Advisors, Inc., ("RJFSA"), a federally registered investment adviser. When appropriate, Mr. Converse may recommend that you use the investment advisory services of RJFSA. If you utilize the advisory services of Mr. Converse through RJFSA, he may receive additional fees or other compensation in his capacity as an investment adviser representative. These fees would be in addition to any fees charged for the advisory services provided through Converse Team Financial Services, LLC.

In his separate capacity as a registered representative, he will be able to effect securities transactions and will receive separate customary compensation for effecting any securities transactions. He is also insurance licensed and may separately offer clients advice or recommendations related to insurance products, and some of which will generate standard and customary insurance commissions and other compensation.

While CTFS will endeavor at all times to put the interest of clients first as part of its fiduciary duty, clients should be aware that the receipt of additional compensation creates a conflict of interest and may affect the judgment of individuals who make recommendations. We believe however that our recommendations are in the best interests of our clients and are consistent with our clients' needs. Our clients are under no obligation to purchase products or services recommended by our associated persons or to purchase products or services through our associated persons and we recommend that they review investment and insurance options with their attorney, accountant, or other applicable professional.

Mr. Converse has no other material business activities to report.

Item 5- Additional Compensation

Mr. Converse does not receive any economic benefits, sales awards, or other compensation from outside parties in connection with providing advisory services to clients.

Item 6 - Supervision

As an owner of the firm Mr. Michael Converse does not report to a supervisor. Mr. Brandon Converse, the firm's Chief Compliance Officer, is responsible for the firm's regulatory compliance program and can be contacted at the number above regarding the activities of Mr. Michael Converse.